

BLASCHKE-TYPE CONDITIONS FOR ANALYTIC FUNCTIONS IN THE UNIT DISK: INVERSE PROBLEMS AND LOCAL ANALOGS

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ABSTRACT. We continue the study of analytic functions in the unit disk of finite order with arbitrary set of singular points on the unit circle, introduced in [4]. The main focus here is made upon the inverse problem: the existence of a function from this class with a given singular set and zero set subject to certain Blaschke-type condition. We also discuss the local analog of the main result from [4] similar to the standard local Blaschke condition for analytic and bounded functions in the unit disk.

1. INTRODUCTION

In early 20s V. V. Golubev completed his treatise entitled “The study on the theory of singular points of single valued analytic functions” which was published as a series of papers in 1924–1927 in “Uchenye zapiski gosudarstvennogo saratovskogo universiteta” (the edition hardly known nowadays to the former Soviet Union experts and completely unavailable to the Western audience). A book [7] which came out in 1961 in Russian, after the author’s death, contains this treatise as the second part. The author made an attempt to develop the theory of functions in the unit disk $\mathbb{D} = \{|z| < 1\}$ by using the similarity between such functions and the entire functions which arises if one views the unit circle $\mathbb{T} = \{|t| = 1\}$ as an analog of the unique singular point at infinity for entire functions. Golubev managed to prove a number of results which were latter attributed to either the “mathematical folklore” or other authors. For instance, he was by far the first one to show that for a function f of finite order at most ρ in \mathbb{D}

$$|f(z)| \leq h(1 - |z|), \quad z \in \mathbb{D}, \quad h(x) = \exp\left(\frac{1}{x}\right)^\rho, \quad \rho > 0, \quad (1)$$

its zero set $Z(f) = \{z_n\}$ (each zero z_n is counted according to its multiplicity) obeys a Blaschke-type condition

$$\sum_{z_n \in Z(f)} (1 - |z_n|)^{\rho+1+\varepsilon} < \infty, \quad \forall \varepsilon > 0 \quad (2)$$

(see, e.g., [7, Chapter II, §1]). This result was extended to a wide class of weight functions h by F. Shamoyan [13].

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Let E be an arbitrary closed subset of the unit circle. In [1, p. 113] P. Ahern and D. Clark defined a *type* of E by

$$\beta(E) := \sup\{\beta \in \mathbb{R} : |E_x| = O(x^\beta), x \rightarrow 0\}, \quad (3)$$

where

$$E_x := \{t \in \mathbb{T} : \hat{d}(t, E) < x\}, \quad x > 0,$$

an x -neighborhood of E , $\hat{d}(t_1, t_2)$ is the length of the shortest arc with endpoints t_1, t_2 , $|E_x|$ its normalized Lebesgue measure ($|\mathbb{T}| = 1$). This characteristic was suggested by the authors in their study of inner functions with derivatives in certain functional classes. It is clear that $0 \leq \beta(E) \leq 1$, $\beta(E) = 1$ for finite (and close in a sense to finite) sets, and $\beta(E) = 0$ for all sets E of positive Lebesgue measure (see Section 3 for more results and examples concerning $\beta(E)$).

In a recent paper [4] we introduced a class $\mathcal{H}(\rho, E)$ of analytic functions in \mathbb{D} of finite order at most ρ having an arbitrary closed set $E \subset \mathbb{T}$ as the set of singular points, i.e.,

$$|f(z)| \leq \exp\left(\frac{C}{d^\rho(z, E)}\right), \quad z \in \mathbb{D}, \quad d(z, E) = \text{dist}(z, E). \quad (4)$$

Being unaware of [1],¹ we defined the value $\beta(E)$ in an equivalent way (cf. Proposition 5 below) and proved in [4, Theorem 3], that the Blaschke-type condition for such functions is

$$\sum_{z_n \in Z(f)} (1 - |z_n|) d^{(\rho - \beta(E) + \varepsilon)_+}(z_n, E) < \infty, \quad \forall \varepsilon > 0, \quad (5)$$

where, as usual, $a_+ := \max(a, 0)$. So (5) turns into (2) for $E = \mathbb{T}$.

One of the goals of the present paper is to study the inverse problems: given a closed set $E \subset \mathbb{T}$ and a discrete set, i.e., having no limit points in \mathbb{D} , $Z \subset \mathbb{D}$ subject to Blaschke-type condition (5), whether there exists a function $f \in \mathcal{H}(\rho, E)$ such that $Z = Z(f)$. The standard and well respected method here is to use various canonical products (Weierstrass, Nevanlinna, Golubev, Djrbashian, Tsuji). The problem is to pick one with the smallest possible growth near E , once the convergence exponent of zeros is known. The first example of such type is due to Golubev (see Proposition 4 below). Some related results for the case $E = \mathbb{T}$ can be found in [3, 5, 6, 9, 10, 11, 13, 14].

Our contribution in that direction is the following

Theorem 1. *Let $E = \bar{E} \subset \mathbb{T}$, $\rho > 0$, and $Z = \{z_n\}_{n \geq 1} \subset \mathbb{D}$ satisfy*

$$K := \sum_{n \geq 1} (1 - |z_n|) d^\rho(z_n, E) < \infty. \quad (6)$$

Then there exists an analytic function $f \in \mathcal{H}(\rho + 1, E)$ such that $Z(f) = Z$.

¹The authors thank I. E. Chyzhykov for drawing our attention to this paper.

Next, we turn to local versions of the Blaschke conditions, which are less known and appreciated by experts. In the notation $B(a, r) = \{z \in \mathbb{C} : |z - a| < r\}$, let f be an analytic and bounded function in the lune

$$L_\tau(t) = \mathbb{D} \cap B(t, \tau), \quad t \in \mathbb{T}.$$

Then the portion of its zero set inside any interior lune $L(t, \delta)$, $\delta < \tau$ is subject to the Blaschke condition. Indeed, denote by $F = F_\tau$ a conformal mapping from $L_\tau(t)$ to \mathbb{D} , $\Phi = F^{(-1)}$, so $g = f(\Phi)$ is a bounded, analytic function in \mathbb{D} , hence

$$\sum_{w_n \in Z(g)} (1 - |w_n|) = \sum_{z_n \in Z(f)} (1 - |F(z_n)|) < \infty,$$

and the more so

$$\sum_{z_n \in Z(f) \cap L_\delta} (1 - |F(z_n)|) < \infty$$

for any interior lune $L_\delta \subset L_\tau$. Due to the well-known properties of conformal mappings (see also the explicit expression for F in Section 3)

$$1 - |F(z)| \asymp 1 - |z|, \quad z \in L_\delta,$$

(as usual, $a \asymp b$ means $c \leq a/b \leq C$), $\{z_n \in Z(f) \cap L_\delta\}$ is the Blaschke sequence, as claimed.

In Section 3 of the paper we develop certain extensions of such local Blascke condition for the class $\mathcal{H}(\rho, E)$ (see Theorem 7).

2. CANONICAL PRODUCTS IN THE DISK AND INVERSE PROBLEMS

2.1. Prime factors of Weierstrass and Nevanlinna. We begin with the bounds for Weierstrass and Nevanlinna prime factors.

Definition. A Weierstrass prime factor of order p is

$$W(z, p) := (1 - z) \exp \left(\sum_{k=1}^p \frac{z^k}{k} \right), \quad p = 1, 2, \dots, \quad W(z, 0) = 1 - z. \quad (7)$$

The following bounds for W are well known.

Proposition 2. We have

- (1) $|\log W(z, p)| \leq \frac{3}{2} |z|^{p+1}$, $p = 0, 1, \dots$ for $|z| < \frac{1}{3}$;
- (2) $\log |W(z, p)| \leq A_p |z|^p$, $p = 1, 2, \dots$ for $|z| \geq \frac{1}{3}$, $A_p = 3e(2 + \log p)$;
- (3) $|1 - W(z, p)| \leq |z|^{p+1}$, $p = 0, 1, \dots$ for $|z| \leq 1$.

Following [12], we define a Nevanlinna prime factor of order p by

$$N_p(w, \omega) = \frac{W\left(\frac{w}{\omega}, p\right)}{W\left(\frac{\bar{w}}{\omega}, p\right)}. \quad (8)$$

The result below is actually proved in [8, Lemma 3.2].

Proposition 3. Let $\omega = |\omega|e^{i\tau}$, $\mathbb{C}_+ = \{z : \Im z > 0\}$ an upper half plane. Then

- (1) $|\log N_p(w, \omega)| \leq 3|\sin \tau| \left|\frac{w}{\omega}\right|^{p+1}$ for $|w/\omega| < \frac{1}{3}$;
- (2) $\log |N_p(w, \omega)| \leq C_p |\sin \tau| \left|\frac{w}{\omega}\right|^p$, for $|w/\omega| \geq \frac{1}{3}$ and $w, \omega \in \mathbb{C}_+$.

Proof. (1). We have

$$\log N_p(w, \omega) = \sum_{k=p+1}^{\infty} \left(\frac{1}{\bar{\omega}^k} - \frac{1}{\omega^k} \right) \frac{w^k}{k} = 2i \sum_{k=p+1}^{\infty} \frac{\sin k\tau}{|\omega|^k} \frac{w^k}{k},$$

and since $|\sin k\tau| \leq k|\sin \tau|$ then

$$|\log N_p(w, \omega)| \leq 2|\sin \tau| \left|\frac{w}{\omega}\right|^{p+1} \sum_{j \geq 0} \frac{1}{3^j} = 3|\sin \tau| \left|\frac{w}{\omega}\right|^{p+1}.$$

(2). Now

$$|N_p(w, \omega)| = \left| \frac{1 - w/\omega}{1 - w/\bar{\omega}} \right| \exp \left\{ -2 \Im \sum_{k=1}^p \Im \left(\frac{1}{\omega^k} \right) \frac{w^k}{k} \right\}$$

and since $w, \omega \in \mathbb{C}_+$, then $|1 - w/\omega| \leq |1 - w/\bar{\omega}|$ so

$$\log |N_p(w, \omega)| \leq 2|\sin \tau| \sum_{k=1}^p \left| \frac{w}{\omega} \right|^k \leq C_p |\sin \tau| \left| \frac{w}{\omega} \right|^p.$$

□

Note also that

$$N_p(w, \omega) - 1 = \frac{W\left(\frac{w}{\omega}, p\right) - W\left(\frac{\bar{w}}{\omega}, p\right)}{W\left(\frac{w}{\omega}, p\right)},$$

and by Proposition 2, (3)

$$|N_p(w, \omega) - 1| \leq \frac{2 \left| \frac{w}{\omega} \right|^{p+1}}{1 - \left| \frac{w}{\omega} \right|^{p+1}} < \frac{1}{4}, \quad \left| \frac{w}{\omega} \right| < \frac{1}{3}.$$

The standard inequality for logarithms

$$\frac{1}{2} |\zeta| \leq |\log(1 + \zeta)| \leq \frac{3}{2} |\zeta|, \quad |\zeta| < \frac{1}{2}$$

now gives

$$|N_p(w, \omega) - 1| \leq 2|\log N_p(w, \omega)| \leq 6|\sin \tau| \left| \frac{w}{\omega} \right|^{p+1}, \quad \left| \frac{w}{\omega} \right| < \frac{1}{3}. \quad (9)$$

2.2. Inverse problems. Canonical products appear to be an appropriate tool for solving inverse problems. The statement below illustrates this fact nicely (the idea is due to Golubev, cf. [7, Chapter I, §3]).

Proposition 4. *Let $Z = \{z_n\} \in \mathbb{D}$ satisfy*

$$K := \sum_{n \geq 1} d^{\rho+1}(z_n, E) < \infty, \quad \rho > 0, \quad (10)$$

$E = \overline{E} \subset \mathbb{T}$. Then there exists a function $f \in \mathcal{H}(\rho + 1, E)$ such that $Z(f) = Z$.

Proof. Denote by $e_n \in E$ one of the closest points to z_n , so $d(z_n, E) = |z_n - e_n|$. Put

$$G(z) := \prod_{n \geq 1} W(v_n, p), \quad v_n = \frac{z_n - e_n}{z - e_n},$$

p satisfies

$$\rho \leq p < \rho + 1, \quad p \in \mathbb{N} = \{1, 2, \dots\}. \quad (11)$$

We call G a *Golubev canonical product*. Since $v_n(z) \rightarrow 0$ for each $z \in \mathbb{D}$, then $|v_n(z)| \leq 1$ for $n \geq n_0(z)$. By Proposition 2, (3) and (11)

$$\sum_{n \geq n_0} |W(v_n, p) - 1| \leq \sum_{n \geq n_0} |v_n(z)|^{p+1} \leq \sum_{n \geq n_0} |v_n(z)|^{\rho+1} \leq \frac{K}{d^{\rho+1}(z, E)},$$

so the product G converges absolutely and uniformly in \mathbb{D} . Write

$$G(z) = \Pi_1(z) \cdot \Pi_2(z),$$

where

$$\Pi_1(z) = \prod_{n:|v_n|>1} W(v_n, p), \quad \Pi_2(z) = \prod_{n:|v_n|\leq 1} W(v_n, p).$$

Since $\log |W(v_n, p)| \leq |W(v_n, p) - 1|$, then as above

$$\log |\Pi_2(z)| \leq \sum_{|v_n| \leq 1} |W(v_n, p) - 1| \leq \frac{K}{d^{\rho+1}(z, E)}.$$

As for the first factor, by Proposition 2, (2), and (11)

$$\log |\Pi_1(z)| \leq C_p \sum_{n:|v_n|>1} |v_n|^p \leq C_p \sum_{n:|v_n|>1} |v_n|^{\rho+1} \leq \frac{C_p K}{d^{\rho+1}(z, E)},$$

that leads to the final bound

$$|G(z)| \leq \exp \left\{ \frac{B_p K}{d^{\rho+1}(z, E)} \right\}.$$

It is clear that the zero set $Z(f) = Z$. □

Proof of Theorem 1. Note that for $E = \mathbb{T}$ we have exactly Proposition 4, so with no loss of generality assume that $E \neq \mathbb{T}$, and moreover,

$$-1 \notin E, \quad d(-1, E) = 2\delta > 0, \quad \delta = \delta(E). \quad (12)$$

We can also assume that $|\lambda + 1| \geq \delta$ for all $\lambda \in Z$, since for the part of Z with $|\lambda + 1| < \delta$ the standard Blaschke condition holds by (6) and (12), so the corresponding Blaschke product represents this part of Z .

Take $t = e^{i\theta} \in E$, $|\theta| < \pi$, and consider an auxiliary linear-fractional mapping $g_t : \mathbb{D} \rightarrow \mathbb{C}_+$

$$g_t(\lambda) := ie^{i\frac{\theta}{2}} \frac{1 + \lambda}{t - \lambda}, \quad g_t(t) = \infty, \quad g_t(-1) = 0. \quad (13)$$

It is clear that the following bounds hold for g

$$\begin{aligned} |g_t(\lambda)| &\leq \frac{2}{|t - \lambda|}, \quad \lambda \in \mathbb{D}, \\ |g_t(\lambda)| &\geq \frac{\delta}{|t - \lambda|} \geq \frac{\delta}{2}, \quad |\lambda + 1| \geq \delta. \end{aligned} \tag{14}$$

Similarly, for the imaginary part

$$\Im g_t(\lambda) = \cos \frac{\theta}{2} \frac{1 - |\lambda|^2}{|t - \lambda|^2}$$

one has

$$\cos \frac{\theta}{2} \frac{1 - |\lambda|}{|t - \lambda|^2} \leq \Im g_t(\lambda) \leq 2 \frac{1 - |\lambda|}{|t - \lambda|^2}, \quad \lambda \in \mathbb{D}. \tag{15}$$

As above, p satisfies (11).

Let $z, \lambda \in \mathbb{D}$. If $|g_t(\lambda)| > 3|g_t(z)|$, then by Proposition 3, (1), and $\rho + 1 \leq p + 1$

$$\begin{aligned} |\log N_p(g_t(z), g_t(\lambda))| &\leq 3 |\sin \arg g_t(\lambda)| \left| \frac{g_t(z)}{g_t(\lambda)} \right|^{p+1} \\ &= \frac{3 \Im g_t(\lambda)}{|g_t(\lambda)|^{\rho+2}} \left| \frac{g_t(z)}{g_t(\lambda)} \right|^{p+1-\rho-1} |g_t(z)|^{\rho+1} \\ &\leq \frac{3 \Im g_t(\lambda)}{|g_t(\lambda)|^{\rho+2}} |g_t(z)|^{\rho+1}. \end{aligned} \tag{16}$$

If $|g_t(\lambda)| \leq 3|g_t(z)|$, then by Proposition 3, (2), and $p < \rho + 1$

$$\begin{aligned} \log |N_p(g_t(z), g_t(\lambda))| &\leq C_\rho |\sin \arg g_t(\lambda)| \left| \frac{g_t(z)}{g_t(\lambda)} \right|^p \\ &= \frac{C_\rho \Im g_t(\lambda)}{|g_t(\lambda)|^{\rho+2}} \left| \frac{g_t(z)}{g_t(\lambda)} \right|^{\rho+1-p} |g_t(z)|^{\rho+1} \\ &\leq C_\rho 2^{\rho+1-p} \frac{\Im g_t(\lambda)}{|g_t(\lambda)|^{\rho+2}} |g_t(z)|^{\rho+1}. \end{aligned} \tag{17}$$

In view of (14)–(15) and (9) we finally have for $z \in \mathbb{D}$, $|\lambda + 1| \geq \delta$

$$\log |N_p(g_t(z), g_t(\lambda))| \leq C_{\rho, \delta} \frac{(1 - |\lambda|)|t - \lambda|^\rho}{|t - z|^{\rho+1}}, \tag{18}$$

and

$$|N_p(g_t(z), g_t(\lambda)) - 1| \leq 2 |\log N_p(g_t(z), g_t(\lambda))| \leq C_{\rho, \delta} \frac{(1 - |\lambda|)|t - \lambda|^\rho}{|t - z|^{\rho+1}}, \tag{19}$$

if in addition $|g_t(\lambda)| > 3|g_t(z)|$.

Let, as above, $e_n \in E$ be one of the closest points to z_n . Consider a Nevanlinna canonical product (cf. [8, Chapter 1])

$$f(z) := \prod_{n=1}^{\infty} N_p(g_n(z), g_n(z_n)), \quad g_n = g_{e_n}. \tag{20}$$

By (18) its partial products f_m are uniformly bounded in the disk

$$\begin{aligned} |f_m(z)| &= \prod_{n=1}^m |N_p(g_n(z), g_n(z_n))| \\ &\leq \exp \left\{ C_{\rho, \delta} \sum_{n=1}^m \frac{(1 - |z_n|)|e_n - z_n|^\rho}{|e_n - z|^{\rho+1}} \right\} \leq \exp \left\{ \frac{C_{\rho, \delta} K}{d^{\rho+1}(z, E)} \right\}, \end{aligned} \quad (21)$$

so the family $\{f_m\}$ is locally bounded in \mathbb{D} , and by Montel's Theorem it is normal there. We will show that it converges on a curve in \mathbb{D} to a function, which is not identically zero.

Put $\eta = \frac{1}{4}\delta^2$ and let $z = x \in I = (-1, -1 + \eta)$. Then for $t \in E$

$$|g_t(x)| = \frac{|1+x|}{|t-x|} < \frac{\eta}{|t+1|-|1+x|} < \frac{\eta}{2\delta-\eta} < \frac{\delta}{6}.$$

On the other hand, as we have assumed, $|z_k + 1| \geq \delta$ for all $z_k \in Z$, so by (14) $|g_t(z_k)| > \frac{1}{2}\delta$ and hence

$$|g_t(z_k)| > 3|g_t(x)|, \quad x \in I, \quad z_k \in Z.$$

Therefore (19) applies, and

$$\sum_{n \geq 1} |N_p(g_n(x), g_n(z_n)) - 1| < \infty,$$

so (20) converges absolutely and uniformly on I to a function, which is not identically zero, as claimed. Hence, by Vitali's Theorem the product f converges uniformly on compact subsets of \mathbb{D} and as in (21)

$$\log |f(z)| \leq \frac{C_{\rho, \delta} K}{d^{\rho+1}(z, E)}. \quad (22)$$

It is clear that $Z(f) = Z$, and the proof is complete. \blacksquare

Let E be a closed set on \mathbb{T} , f a function of finite order in \mathbb{D} with the singular set E . We define the *order of growth of f near E* by

$$\rho_E[f] = \inf\{\rho \in \mathbb{R} : \log |f(z)| < C_f d^{-\rho}(z, E)\}.$$

Let $Z = \{z_n\}$ be a set of points in \mathbb{D} counted according to their multiplicity. We define the *convergence exponent with respect to E* by

$$\rho_E[Z] = \inf\{\rho \in \mathbb{R} : \sum_{z_n \in Z} (1 - |z_n|) d^\rho(z_n, E) < \infty\}.$$

In these terms the main result of [4] states that

$$\rho_E[f] \leq \rho \Rightarrow \rho_E[Z(f)] \leq (\rho - \beta(E))_+,$$

$\beta(E)$ is the type of E (3). On the other hand, Theorem 1 is equivalent to the following: for an arbitrary Z with $\rho_E[Z] \leq \rho$ there is a function f such

that $\rho_E[f] \leq \rho + 1$ and $Z(f) = Z$. The two results can be combined in one two sided inequality

$$\rho_E[Z] + \beta(E) \leq \inf_{f:Z(f)=Z} \rho_E[f] \leq \rho_E[Z] + 1. \quad (23)$$

The example below shows that the right bound in (23) is attained for arbitrary E .

For $0 < r < 1$, $0 \leq \theta < 2\pi$ put

$$\square(r, \theta) := \{z \in \mathbb{D} : r \leq |z| \leq \frac{1+r}{2}, \quad |\arg z - \theta| \leq \pi(1-r)\}.$$

A theorem of Linden [9, Theorem II] claims that for a function of finite order $\rho_{\mathbb{T}}[f]$ in \mathbb{D}

$$\nu_f(r, \theta) := \#\{z \in Z(f) \cap \square(r, \theta)\} = O\left(\frac{1}{(1-r)^{(\rho_{\mathbb{T}}[f]+\varepsilon)}}\right), \quad r \rightarrow 1 \quad (24)$$

for any θ and $\varepsilon > 0$. Here $\#(A)$ is a number of points in a set A .

Let $Z \subset \mathbb{D}$ and

$$\nu(r, \theta, Z) := \#\{z \in Z \cap \square(r, \theta)\}.$$

By Linden's theorem any lower bound for $\nu(r, \theta, Z)$ for at least one value of θ implies the lower bound for the order $\rho_{\mathbb{T}}[f]$ of each f with $Z(f) \supset Z$.

Example. Assume with no loss of generality that $1 \in E$, and put

$$Z = \{z_n\} : \quad z_n = r_n = 1 - \left(\frac{1}{n+1}\right)^{\frac{1}{\rho+1}}, \quad \rho > 0. \quad (25)$$

Given $0 < r < 1$ write $k = k(r)$ so that

$$r_{k-1} < r \leq r_k < r_{k+1} < \dots < r_{k+l_k-1} \leq \frac{1+r}{2} < r_{k+l_k}.$$

It is clear that

$$\begin{aligned} \frac{1}{(1-r)^{\rho+1}} - 1 &\leq k < \frac{1}{(1-r)^{\rho+1}}, \\ (2^{\rho+1} - 1)k &\leq l_k < (2^{\rho+1} - 1)k + 2^{\rho+1} + 1. \end{aligned}$$

Hence $\nu(r, 0, Z) = l_k \asymp (1-r)^{-\rho-1}$, and for f with $Z(f) \supset Z$

$$\nu_f(r, 0) \geq \nu(r, 0, Z) \geq \frac{C}{(1-r)^{\rho+1}}.$$

By Linden's theorem $\rho_{\mathbb{T}}[f] \geq \rho + 1$, and finally $\rho_E[f] \geq \rho_{\mathbb{T}}[f] \geq \rho + 1$.

On the other hand, $d(z_n, E) = 1 - r_n$, so $\rho_E[Z] = \rho$, and we come to an equality

$$\inf_{f:Z(f)=Z} \rho_E[f] = \rho_E[Z] + 1 = \rho + 1,$$

as claimed.

For the sets E close to finite ones (23) turns into equality

$$\inf_{f:Z(f)=Z} \rho_E[f] = \rho_E[Z] + 1, \quad (26)$$

for the sets E of positive Lebesgue measure we have

$$\rho_E[Z] \leq \inf_{f:Z(f)=Z} \rho_E[f] \leq \rho_E[Z] + 1. \quad (27)$$

In the case $E = \mathbb{T}$ Linden [9] proved that in fact

$$\rho_{\mathbb{T}}[Z] \leq \rho_{\mathbb{T}}[f] \leq \rho_{\mathbb{T}}[Z] + 1$$

for all functions f with $Z(f) = Z$. And even more so, for any R in $[\rho_{\mathbb{T}}[Z], \rho_{\mathbb{T}}[Z] + 1]$ there is such function f that $\rho_{\mathbb{T}}[f] = R$.

3. LOCAL BLASCHKE-TYPE CONDITIONS

3.1. Type of closed set. Let $E = \bar{E} \subset \mathbb{T}$,

$$E_x := \{t \in \mathbb{T} : \hat{d}(t, E) < x\}, \quad x > 0$$

an x -neighborhood of E , $\hat{d}(t_1, t_2)$ is the length of the shortest arc with endpoints t_1, t_2 , $|E_x|$ its normalized Lebesgue measure. It is easily checked that

$$E_x = \bigcup \Gamma : \Gamma \text{ an open arc, } |\Gamma| = x, \quad \Gamma \cap E \neq \emptyset. \quad (28)$$

Being an open set, $E_x = \cup_{j=1}^{\omega} I_j$ is a disjoint union of open arcs. It is easy from (28) that $|I_j| \geq x$, and so

$$\omega < \infty, \quad E \cap I_j \neq \emptyset$$

for all $j = 1, 2, \dots, \omega$.

Some elementary properties of $|E_x|$ are listed in [4, Section 2]. There is another one, which is a bit more subtle

$$|E_{rx}| \leq r|E_x|, \quad r \geq 1. \quad (29)$$

Indeed, it is verified directly when E is a finite set. In general, as a compact set, E contains a finite ε -net \hat{E} $\forall \varepsilon > 0$, i.e., $\hat{E} \subset E \subset \hat{E}_\varepsilon$. Hence

$$|E_{rx}| \leq |\hat{E}_{rx+\varepsilon}| \leq r|\hat{E}_{x+\varepsilon/r}| \leq r|E_{x+\varepsilon/r}|$$

and tend ε to 0, as claimed.

There is a simple way to compute $|E_x|$ in terms of the complimentary arcs of E . Let

$$\mathbb{T} \setminus E = \bigcup_j \gamma_j, \quad |\gamma_j| \downarrow 0.$$

Then

$$|E_x| = \sum_{j=N+1}^{\infty} |\gamma_j| + \frac{2N}{\pi} \arcsin \frac{x}{2} + |E|, \quad (30)$$

where $N = N(x)$ is taken from

$$|\gamma_{N+1}| \leq \frac{2}{\pi} \arcsin \frac{x}{2} < |\gamma_N|. \quad (31)$$

The number $\beta(E)$ (3), introduced by P. Ahern and D. Clark, is called the type of E . It is clear that $0 \leq \beta(E) \leq 1$, and

$$E_1 \subset E_2 \Rightarrow \beta(E_1) \geq \beta(E_2). \quad (32)$$

Based on (30)–(31), it is easy to manufacture examples of countable sets E with prescribed values of $\beta(E) \in [0, 1]$. For instance, $\beta(E) = 1$ for

$$E := \left\{ e^{i\varphi_n} : \varphi_n = \sum_{k=n}^{\infty} \frac{1}{2^k} \right\} \cup \{1\},$$

$\beta(E) = 1 - \frac{1}{\gamma}$, $\gamma > 1$, for

$$E = \left\{ e^{i\varphi_n} : \varphi_n = c \sum_{k=n}^{\infty} \frac{1}{k^\gamma}, \quad \gamma > 1 \right\} \cup \{1\}, \quad c^{-1} = \sum_{k=1}^{\infty} \frac{1}{k^\gamma},$$

$\beta(E) = 0$ for

$$E = \left\{ e^{i\varphi_n} : \varphi_n = c \sum_{k=n}^{\infty} \frac{1}{k \log^2 k} \right\} \cup \{1\}, \quad c^{-1} = \sum_{k=2}^{\infty} \frac{1}{k \log^2 k}.$$

For the generalized Cantor set \mathcal{C}_ω (the standard Cantor set is $\mathcal{C}_{1/3}$)

$$\beta(\mathcal{C}_\omega) = 1 - d(\omega), \quad d(\omega) = \frac{\log 2}{\log 2 - \log(1 - \omega)}$$

is the Hausdorff dimension of \mathcal{C}_ω .

The relation between $\beta(E)$ and the value $I(\beta, E)$ introduced in [4] is straightforward.

Proposition 5. *Given $E = \overline{E} \subset \mathbb{T}$, let*

$$I(\beta, E) := \int_0^\pi \frac{|E_x|}{x^{\beta+1}} dx \leq \infty, \quad \beta \in \mathbb{R}.$$

Then $\beta < \beta(E)$ ($\beta > \beta(E)$) implies $I(\beta, E) < \infty$ ($I(\beta, E) = \infty$).

Proof. If $\beta < \beta(E)$, then by definition $|E_x| = O(x^{\beta+\varepsilon})$ for some $\varepsilon > 0$, so the integral converges.

Conversely, assume that $I(\beta, E) < \infty$. Then $\beta < 1$, and as by (29) $|E_u|u^{-1}$ is a decreasing function in u , we have for all small enough $y > 0$

$$1 \geq \int_0^y \frac{|E_x|}{x^{\beta+1}} dx \geq \frac{|E_y|}{y} \int_0^y \frac{dx}{x^\beta} = \frac{|E_y|}{y} \frac{y^{1-\beta}}{1-\beta},$$

so $|E_y| \leq (1-\beta)y^\beta$, and hence $\beta \leq \beta(E)$. The proof is complete. \square

Now, [4, Theorem 3] states that

$$f \in \mathcal{H}(\rho, E) \Rightarrow \rho_E[Z(f)] \leq (\rho - \beta(E))_+.$$

The following property of $\beta(E)$ proves helpful.

Proposition 6. *Let $E = \bar{E} \subset \Gamma$, Γ is an open arc of \mathbb{T} . Let F be an analytic function in the domain $\Omega \supset \Gamma$, $|F| = 1$ on Γ , and $|F'| \asymp 1$ in Ω . Then $\beta(F(E)) = \beta(E)$.*

Proof. Under the assumptions we have $|E_x| \asymp |F(E_x)| \asymp |(F(E))_x|$, as needed. \square

3.2. Local analogs. A number $\beta(\zeta) = \lim_{\delta \rightarrow 0} \beta(E \cap \overline{B(t, \delta)})$ will be called a *local type of the closed set $E \subset \mathbb{D}$ at the point $t \in E$* . For $t \in \mathbb{D} \setminus E$ we put $\beta(t) = +\infty$. It is clear that $\beta(t) \geq \beta(E)$ for each $t \in E$.

We are aimed at proving a local version of [4, Theorem 3], as stated below.

Theorem 7. *Let E be a closed subset of \mathbb{T} , and $t \in E$. Assume that f is an analytic function in the lune $L_\tau(t)$, $0 < \tau < 1$, and*

$$\log |f(z)| \leq \frac{C}{d^\rho(z, E)}, \quad z \in L_\tau(t).$$

Then for any $\varepsilon > 0$ there exists $\delta = \delta(\varepsilon, t, E) > 0$, $\delta < \tau$ such that inside the interior lune $L_\delta(t)$

$$\sum_{z \in Z(f) \cap L_\delta(t)} (1 - |z|) \text{dist}^{(\rho - \beta(t) + \varepsilon)_+}(z, E) < \infty. \quad (33)$$

Proof. With no loss of generality we put $t = 1$ and for any $\xi \leq \tau$ denote

$$L_\xi := L_\xi(1), \quad E^\xi := E \cap \overline{L_\xi},$$

a portion of E in the closure of L_ξ , $L_\xi \subseteq L_\tau$.

Let us show first that

$$d(z, E) \asymp d(z, E^\xi), \quad z \in L_\xi. \quad (34)$$

Indeed, write $L_\xi = L_\xi^+ \cup L_\xi^-$ with $L_\xi^+ = L_\xi \cap \{\Im z \geq 0\}$, $L_\xi^- = L_\xi \cap \{\Im z < 0\}$, and $e^{\pm i\alpha}$, $\alpha > 0$, for the vertices of L_ξ . If $e^{i\alpha} \in E$ then for all $z \in L_\xi^+$

$$d(z, E^\xi) \leq d(z, e^{i\alpha}) \leq d(z, E \setminus E^\xi),$$

so $d(z, E) = \min\{d(z, E^\xi), d(z, E \setminus E^\xi)\} = d(z, E^\xi)$. If $e^{i\alpha} \notin E$ then $d_+ := d(\bar{L}_\xi^+, E \setminus E^\xi) > 0$, so

$$d(z, E) \geq \frac{d_+}{2} d(z, E^\xi).$$

The argument for L_ξ^- is the same, and we are done. So,

$$\log |f(z)| \leq \frac{C}{d^\rho(z, E^\xi)}, \quad z \in L_\xi, \quad \forall \xi \leq \tau. \quad (35)$$

We will distinguish two situations.

1. Let $\beta(1) = 0$, and so $\beta(E^\xi) = 0$ for all $\xi < \tau$. Let F_τ be a conformal mapping of L_τ onto \mathbb{D} , $\Phi_\tau = F_\tau^{(-1)}$ the inverse mapping. The explicit expression for F_τ is available

$$F_\tau(z) = \frac{i\lambda(z) + 1}{\lambda(z) + i}, \quad \lambda(z) = \left(\frac{(z - e^{-i\alpha})(1 - e^{i\alpha})}{(z - e^{i\alpha})(1 - e^{-i\alpha})} \right)^{1/\kappa},$$

where $\pi\kappa$ is the internal angle of L_τ at the vertices $e^{\pm i\alpha}$. Note that $\kappa \in (1/2, 2/3)$ whenever $\tau < 1$. We see that $|F'_\tau(z)| \leq C$, $z \in \overline{L}_\tau$, hence

$$d(F_\tau(z_1), F_\tau(z_2)) \leq Cd(z_1, z_2), \quad z_1, z_2 \in \overline{L}_\tau. \quad (36)$$

The function $g = f(\Phi)$ is analytic in \mathbb{D} , and by (35) with $\xi = \tau$ and (36)

$$\log |g(w)| \leq \frac{C}{d^\rho(\Phi(w), E^\tau)} \leq \frac{C}{d^\rho(w, F_\tau(E^\tau))}.$$

By the global result and $Z(g) = F_\tau(Z(f))$

$$\sum_{z_n \in Z(f)} (1 - |F_\tau(z_n)|) d^{\rho+\varepsilon}(F_\tau(z_n), F_\tau(E^\tau)) < \infty,$$

and the more so,

$$\sum_{z_n \in Z(f) \cap L_\delta} (1 - |F_\tau(z_n)|) d^{\rho+\varepsilon}(F_\tau(z_n), F_\tau(E^\tau)) < \infty$$

for any interior lune L_δ . Since

$$|F'_\tau(z)| \asymp 1, \quad \frac{1 - |F_\tau(z)|}{1 - |z|} \asymp 1, \quad z \in L_\delta,$$

we have

$$\sum_{z_n \in Z(f) \cap L_\delta} (1 - |z_n|) d^{\rho+\varepsilon}(z_n, E) < \sum_{z_n \in Z(f) \cap L_\delta} (1 - |z_n|) d^{\rho+\varepsilon}(z_n, E^\tau) < \infty,$$

which is (33) with $\beta(1) = 0$.

2. The case $\beta(1) > 0$ is more delicate, as we have to take care of the exponent in (33). By the definition now $|E^\xi| = 0$ for all small enough $\xi > 0$. It is not hard to see that we can choose two lunes $L_\eta \supset L_\delta$ with $\delta < \eta < \tau$ such that

- (1) $\beta(E^\delta) > \beta(1) - \varepsilon/2$.
- (2) The vertices of both L_η and L_δ are not in E , and $E^\eta = E^\delta$.

Let F_η be a conformal mapping of L_η (not L_τ !) onto \mathbb{D} , $\Phi_\eta = F_\eta^{(-1)}$ the inverse mapping. The function $g = f(\Phi)$ is analytic in \mathbb{D} , and as above

$$\log |g(w)| \leq \frac{C}{d^\rho(\Phi(w), E^\delta)} \leq \frac{C}{d^\rho(w, F_\eta(E^\delta))}.$$

By the global result and $Z(g) = F_\eta(Z(f))$

$$\sum_{z_n \in Z(f)} (1 - |F_\eta(z_n)|) d^{(\rho - \beta(\hat{E}^\delta) + \varepsilon/2)_+}(F_\eta(z_n), F_\eta(E^\delta)) < \infty, \quad \hat{E}^\delta = F_\eta(E^\delta).$$

Next, Proposition 6 applies, so $\beta(\hat{E}^\delta) = \beta(E^\delta) > \beta(1) - \varepsilon/2$, and

$$\sum_{z_n \in Z(f)} (1 - |F_\eta(z_n)|) d^{(\rho - \beta(1) + \varepsilon)_+}(F_\eta(z_n), F_\eta(E^\delta)) < \infty.$$

As in the first case, now

$$\sum_{z_n \in Z(f) \cap L_\delta} (1 - |z_n|) d^{(\rho - \beta(1) + \varepsilon)_+}(z_n, E) < \infty,$$

as claimed. The proof is complete. \square

Theorem 8. *Let $E = \cup_{j=1}^n E_j$ be a disjoint union of closed sets, f an analytic function in the unit disk which satisfies*

$$\log |f(z)| \leq \frac{C_f}{\prod_{k=1}^n d^{\rho_k}(z, E_k)}, \quad \rho_k > 0. \quad (37)$$

Then $\forall \varepsilon > 0$

$$\sum_{z_n \in Z(f)} (1 - |z_n|) \min_k d^{q_k}(z_n, E_k) < \infty, \quad q_k := (\rho_k - \beta(E_k) + \varepsilon)_+. \quad (38)$$

Proof. For $t \in E_j$ we apply Theorem 7 with $\rho = \rho_j$, $\beta(t) \geq \beta(E_j)$ and sufficiently small τ , and come to

$$\sum_{z_n \in Z(f) \cap L_\delta(t)} (1 - |z_n|) d^{q_j}(z_n, E) < \infty.$$

Assume that $\delta < \frac{\Delta}{4}$, $\Delta := \min_{l,s}(E_l, E_s) > 0$, so for $\lambda \in L_\delta(t)$ and $k \neq j$

$$d(\lambda, E_j) < \delta < \frac{\Delta}{4}, \quad d(\lambda, E_k) = |\lambda - e_k| = |\lambda - t + t - e_k| > \Delta - \delta > \frac{3}{4} \Delta,$$

i.e., $d(\lambda, E_k) > 3d(\lambda, E_j)$. Hence

$$\sum_{z_n \in Z(f) \cap L_\delta(t)} (1 - |z_n|) d^{q_j}(z_n, E_j) < \infty.$$

When $t \notin E$, for small enough δ

$$\sum_{z_n \in Z(f) \cap L_\delta(t)} (1 - |z_n|) < \infty,$$

which is the standard local Blaschke condition. It remains only to choose a finite covering $\mathbb{T} \subset \cup_{p=1}^m B(t_p, \delta_p)$ and take into account that $Z(f) \setminus \cup_{p=1}^m B(t_p, \delta_p)$ is a finite set. \square

For the finite E the result was proved in [2, Theorem 0.3].

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